PATENT ATTORNEY DOCKET NO.: 041514-5114

DAN O 6 2000 - W.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mitsuru SATO et al.

Application No.: 09/813,898

Filed: March 22, 2001

For: TRACKING SERVO APPARATUS OF OPTICAL INFORMATION RECORDING AND REPRODUCING APPARATUS

Commissioner of Patents

Commissioner of Patents

Commissioner of Patents Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Form 1449. Each item of information contained in this Information Disclosure Statement (IDS) was cited in a European Search Report issued by the European Patent Office on December 2, 2002 in a counterpart foreign application. A copy of the European Search Report is enclosed for the Examiner's consideration.

To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application and no fees are believed to be necessary.

The following document is listed on the accompanying PTO Form 1449 and is in a language other than English.

1. Japanese Patent Publication No. 10-320814. The relevance of this document can be understood from the attached English-language Abstract and from the enclosed corresponding U.S. Patent No. 6,185,176.

Attorney Docket No.: 041514-5114

Application No.: 09/813,898

Page 2

A copy of each of the listed documents is attached. Applicants respectfully request that

the Examiner consider the listed documents and evidence that consideration by making

appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists

and does not constitute an admission that the listed documents are material or constitute "Prior

Art." If it should be determined that the listed documents do not constitute "Prior Art" under

United States law, Applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability

of the disclosed invention over the listed documents, should the documents be applied against the

claims of the present application.

EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby

authorized by this paper to charge any additional fees during the entire pendency of this

application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required,

including any required extension of time fees, or credit any overpayment to Deposit Account 50-

0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION**

OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Dated: January 6, 2003

ctoria D.

Reg. No. 47,630

Customer No. 009629

MORGAN, LEWIS & BOCKIUS LLP

1111 Pennsylvania Avenue, N.W.

Washington, D.C. 20004

Phone: 202.739.3000

1-WA/1921069.1